

On the number of combinations without certain separations

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Abstract

In this paper we enumerate the number of ways of selecting k objects from n objects arrayed in a line such that no two selected ones are separated by $m-1, 2m-1, \dots, pm-1$ objects and provide three different formulas when $m, p \geq 1$ and $n \geq pm(k-1)$. Also, we prove that the number of ways of selecting k objects from n objects arrayed in a circle such that no two selected ones are separated by $m-1, 2m-1, \dots, pm-1$ objects is given by $\frac{n}{n-pk} \binom{n-pk}{k}$, where $m, p \geq 1$ and $n \geq mpk+1$.

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1. Introduction

In 1943, Kaplansky [6] published a recursive derivation of the number of combinations of n objects taken k at a time without two selected ones being consecutive (see also Comtet [2], Riordan [8] and Ryser [9]). In 1981, Konvalina [7] derived the number of combinations of n objects taken k at a time without two selected ones having unit separation, i.e., having exactly one object between them.

Let $[n]$ (resp. $[\bar{n}]$) be the set of n objects x_1, x_2, \dots, x_n arrayed in a line (resp. circle). Given a subset N of the set \mathbb{N} of nonnegative integers, a subset A of $[n]$ or $[\bar{n}]$ will be called N -separate if any two objects in A have exactly j objects between them, then $j \in N$. Let $N_m^p = \mathbb{N} - \{m-1, 2m-1, \dots, pm-1\}$ for any integers $m, p \geq 1$, define $\mathcal{H}_{p,n}^{m,k}$ (resp. $\mathcal{G}_{p,n}^{m,k}$) to be the number of N_m^p -separate k -subsets of $[n]$ (resp. $[\bar{n}]$). Thus, by our notation, Konvalina [7]

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considered the special case of N_2^1 -separation of $[n]$ and $[\bar{n}]$. Kaplansky [6] discussed the special case of N_1^p -separation of $[n]$ and $[\bar{n}]$, and obtained that

$$\mathcal{H}_{p,n}^{1,k} = \binom{n-p(k-1)}{k} \quad \text{and} \quad \mathcal{G}_{p,n}^{1,k} = \frac{n}{n-pk} \binom{n-pk}{k}. \quad (1.1)$$

In this paper, by combinatorial analysis together with the algebraic method, we extend the above results to the general case of m .

2. Some preliminary remarks

Let $n = rm + \ell$ with $1 \leq \ell \leq m$, and let A_1, \dots, A_m be a partition of $[n] = \{x_1, x_2, \dots, x_n\}$ into m -subsets defined by

$$\begin{aligned} A_i &= \{x_i, x_{m+i}, \dots, x_{rm+i}\}, \quad 1 \leq i \leq \ell, \\ A_i &= \{x_i, x_{m+i}, \dots, x_{(r-1)m+i}\}, \quad \ell + 1 \leq i \leq m, \end{aligned}$$

then putting them in an array,

$$\begin{array}{cccccc} x_1 & x_{m+1} & \cdots & x_{(r-1)m+1} & x_{rm+1} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ x_\ell & x_{m+\ell} & \cdots & x_{(r-1)m+\ell} & x_{rm+\ell} \\ x_{\ell+1} & x_{m+\ell+1} & \cdots & x_{(r-1)m+\ell+1} & \\ \vdots & \vdots & \vdots & \vdots & \\ x_m & x_{2m} & \cdots & x_{(r-1)m+m} & \end{array}$$

For any k -subset B of $[n]$, define $B_i = B \cap A_i$. Note that, in the line case, B is N_m^p -separate if and only if each B_i is N_1^p -separate. From this critical observation together with (1.1), we can obtain the following result.

Proposition 2.1. *For any integer $p, m \geq 1$ and $n, k \geq 0$,*

$$\mathcal{H}_{p,n}^{m,k} = \sum_{\sigma_1(k,m)} \prod_{i=1}^m \binom{|A_i| - p(k_i - 1)}{k_i}, \quad (2.1)$$

where $|A_i|$ is the cardinality of the set A_i , and $\sigma_1(k, m)$ denotes the all nonnegative integer solutions of $k_1 + k_2 + \dots + k_m = k$ such that $k_i \leq 1 + \frac{|A_i|}{p}$ for $i = 1, 2, \dots, m$.

In the next section, we can find the explicit formula for $\mathcal{H}_{p,n}^{m,k}$, and show that when n is large enough ($n \geq mp(k-1)$ here), then $\mathcal{H}_{p,n}^{m,k}$ is independent of the composition of n , i.e., $|A_1| + |A_2| + \dots + |A_m| = n$. However, in the circle case, the above decomposition does not work, for example, when $n = 5, p = 1, m = k = 2$, then $[\bar{5}] = \{x_1, x_2, \dots, x_5\}$ has five N_2^1 -separate 2-subsets, which are $\{x_1, x_2\}, \{x_2, x_3\}, \{x_3, x_4\}, \{x_4, x_5\}, \{x_5, x_1\}$, while $\{x_5, x_1\} \cap \{x_1, x_3, x_5\} = \{x_5, x_1\}$ is not an N_1^1 -separate 2-subsets of $\{x_1, x_3, x_5\}$. In spite of this, we can derive a recurrence relation between $\mathcal{H}_{p,n}^{m,k}$ and $\mathcal{G}_{p,n}^{m,k}$ for $n \geq mpk + 1$.

Given any N_m^p -separate k -subset B of $[\bar{n}]$, for some $0 \leq j \leq m$, there exist j elements of B , say $x_{i_1}, x_{i_2}, \dots, x_{i_j}$, lying in the subset $\{x_1, x_2, \dots, x_{mp}\}$, in other words, each of which is respectively one of the first p objects of $A_{\ell_1}, A_{\ell_2}, \dots, A_{\ell_j}$, then there are $\binom{m}{j} p^j$ ways to do

this. Now delete the related $j(2p + 1)$ objects of $[\overline{n}]$, and delete the remainder $p(m - j)$ elements of $\{x_1, x_2, \dots, x_{mp}\}$, then we get m object sets A'_1, A'_2, \dots, A'_m in which all elements are arrayed in a line and there are totally $n - p(m - j) - j(2p + 1) = n - pm - pj - j$ elements. Note that the condition $n \geq mpk + 1$ leads to $n - pm - pj - j \geq mp(k - j - 1)$, which makes the restricted inequality condition of Eq. (2.1) in Proposition 2.1 redundant. Then there are $\mathcal{H}_{p,n-pm-pj-j}^{m,k-j}$ ways to select the other $k - j$ objects from A'_1, A'_2, \dots, A'_m . Hence, we have

Proposition 2.2. For any integers $p, m \geq 1, n, k \geq 0$ and $n \geq mpk + 1$,

$$\mathcal{G}_{p,n}^{m,k} = \sum_{j \geq 0} \binom{m}{j} p^j \mathcal{H}_{p,n-pm-pj-j}^{m,k-j}. \quad (2.2)$$

Clearly, we can easily compute special values for $\mathcal{H}_{p,n}^{m,k}$ and $\mathcal{G}_{p,n}^{m,k}$, that is,

- $\mathcal{H}_{p,n}^{m,k} = \mathcal{G}_{p,n}^{m,k} = 0$ for $n < k$;
- $\mathcal{H}_{p,n}^{m,0} = \mathcal{G}_{p,n}^{m,0} = 1$;
- $\mathcal{H}_{p,n}^{m,1} = \mathcal{G}_{p,n}^{m,1} = n$ for $n \geq 1$;
- $\mathcal{H}_{p,n+k}^{m,k} = 0$ for $im + 1 \leq k \leq (i + 1)m, 0 \leq n < im$ and $i \geq 1$;
- $\mathcal{G}_{p,n+k}^{m,k} = 0$ for $im + 1 \leq k \leq (i + 1)m, 0 \leq n < (i + 1)mp$ and $i \geq 1$.

Define $\mathcal{H}_{p,n}^{m,k} = \mathcal{G}_{p,n}^{m,k} = 0$ for $k < 0$ or $n < 0$.

3. Main result

In order to give explicit formulas for $\mathcal{H}_{p,n}^{m,k}$ and $\mathcal{G}_{p,n}^{m,k}$, we need the following critical lemma.

Lemma 3.1. Let $\lambda_1, \lambda_2, \dots, \lambda_m, \mu$ be any $m + 1$ complex numbers and $\lambda = \lambda_1 + \lambda_2 + \dots + \lambda_m$. Define

$$\Omega_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) = \sum_{\sigma(k,m)} \prod_{i=1}^m \binom{\lambda_i + \mu k_i}{k_i},$$

$$\Phi_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) = \sum_{\sigma(k,m)} \prod_{i=1}^m \frac{\lambda_i}{\lambda_i + \mu k_i} \binom{\lambda_i + \mu k_i}{k_i},$$

where $\sigma(k, m)$ denotes the all nonnegative integer solutions of $k_1 + k_2 + \dots + k_m = k$.

Then for all $m \geq 1$ and $n, k \geq 0$,

$$\Omega_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) = \sum_{j \geq 0} \binom{m + j - 2}{j} \binom{\lambda + \mu k + m - 1}{k - j} (\mu - 1)^j, \quad (3.1)$$

$$= \sum_{j \geq 0} \binom{\lambda + (\mu - 1)k + j}{j} \binom{\lambda + \mu k + m - 1}{k - j} (1 - \mu)^j \mu^{k-j}, \quad (3.2)$$

$$= \sum_{j \geq 0} \frac{\lambda + \mu(m + j)}{k} \binom{m + j - 1}{j} \binom{\lambda + \mu k + m - 1}{k - j} (\mu - 1)^j, \quad (3.3)$$

$$\Phi_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) = \frac{\lambda}{\lambda + \mu k} \binom{\lambda + \mu k}{k}. \quad (3.4)$$

Proof. First we recall the definition of the residue of a function. Let z_0 be any isolated singular point of a function f . Then there is a Laurent series $f(z) = \sum_{j=-\infty}^{\infty} a_j(z - z_0)^j$ valid for $0 < |z - z_0| < R$, for some positive R . The coefficient a_{-1} of $(z - z_0)^{-1}$ is called the residue of f at z_0 , and is usually written $\text{Res}_{z=z_0} f$ (for computing and properties of the residue see for example [3,4]). For simplicity, we write $\text{Res}_z f$ instead $\text{Res}_{z=0} f$.

Note that the generalized binomial coefficient $\binom{\lambda}{k}$ has an integral representation,

$$\binom{\lambda}{k} = \text{Res}_x \frac{(1+x)^\lambda}{x^{k+1}},$$

which yields that

$$\frac{\lambda}{\lambda + \mu k} \binom{\lambda + \mu k}{k} = \text{Res}_x \frac{(1+x)^{\lambda+\mu k-1} (1-(\mu-1)x)}{x^{k+1}}. \quad (3.5)$$

Then we have

$$\begin{aligned} \Omega_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) &= \text{Res}_x \frac{1}{x^{k+1}} \prod_{i=1}^m \sum_{k_i \geq 0} x^{k_i} \text{Res}_{y_i} \frac{(1+y_i)^{\lambda_i+\mu k_i}}{y_i^{k_i+1}}, \\ &= \text{Res}_x \left\{ \prod_{i=1}^m \frac{(1+y_i)^{\lambda_i+1}}{1-(\mu-1)y_i} \Big|_{y_i=x(1+y_i)^\mu} \right\} x^{-k-1}, \\ &= \text{Res}_x \frac{(1+\varphi(x))^{\lambda+m}}{(1-(\mu-1)\varphi(x))^m} x^{-k-1}, \end{aligned}$$

where $\varphi(x) = x(1+\varphi(x))^\mu$. Using the Lagrange inversion formula for $k \geq 1$ and replacing x by $\frac{y}{(1+y)^\mu}$, we get that

$$\begin{aligned} \Omega_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) &= \sum_{j \geq 0} \frac{\lambda + \mu(m+j)}{k} \binom{m+j-1}{j} \binom{\lambda + \mu k + m - 1}{k-j} (\mu-1)^j, \\ &= \text{Res}_y \frac{(1+y)^{\lambda+\mu k+m-1}}{(1-(\mu-1)y)^{m-1}} y^{-k-1}, \\ &= \sum_{j \geq 0} \binom{m+j-2}{j} \binom{\lambda + \mu k + m - 1}{k-j} (\mu-1)^j, \\ &= \text{Res}_y (1-(\mu-1)y)^{\lambda+\mu k} \left(1 + \frac{\mu y}{1-(\mu-1)y}\right)^{\lambda+\mu k+m-1} y^{-k-1}, \\ &= \sum_{j \geq 0} \binom{\lambda + (\mu-1)k + j}{j} \binom{\lambda + \mu k + m - 1}{k-j} (1-\mu)^j \mu^{k-j}. \end{aligned}$$

Similarly, we also have

$$\Phi_{\mu,\lambda}^{m,k}(\lambda_1, \lambda_2, \dots, \lambda_m) = \text{Res}_x \frac{1}{x^{k+1}} \prod_{i=1}^m \sum_{k_i \geq 0} x^{k_i} \text{Res}_{y_i} \frac{(1+y_i)^{\lambda_i+\mu k_i-1} (1-(\mu-1)y_i)}{y_i^{k_i+1}},$$

$$\begin{aligned}
&= \operatorname{Res}_x \frac{(1+x)^{\lambda+\mu k-1} (1-(\mu-1)x)}{x^{k+1}}, \\
&= \frac{\lambda}{\lambda+\mu k} \binom{\lambda+\mu k}{k}.
\end{aligned}$$

This completes the proof. \square

Remark 3.2. Note that Hwang and Wei [5] considered the special case

$$\Omega_{-1,n+m}^{m,k}(n_1+1, \dots, n_m+1) = \sum_{\sigma(k,m)} \prod_{i=1}^m \binom{n_i+1-k_i}{k_i},$$

with $n = n_1 + n_2 + \dots + n_m$ and obtained its other expression by recurrence relation,

$$\Omega_{-1,n+m}^{m,k}(n_1+1, \dots, n_m+1) = \sum_{j \geq 0} \binom{m+j-2}{j} \binom{n+1-k-2j}{k-2j},$$

which can be derived easily from the proof of Lemma 3.1 if one notices that

$$\begin{aligned}
\Omega_{-1,n+m}^{m,k}(n_1+1, \dots, n_m+1) &= \operatorname{Res}_y \frac{(1+y)^{n+2m-k-1}}{(1+2y)^{m-1}} y^{-k-1} \\
&= \operatorname{Res}_y \frac{(1+y)^{n-k+1}}{(1-\frac{y^2}{(1+y)^2})^{m-1}} y^{-k-1}.
\end{aligned}$$

Also, the Eq. (3.4) is a generalization of Gould's identity [1,2], that is,

$$\sum_{k=0}^n \frac{a}{a+ck} \binom{a+ck}{k} \frac{b}{b+c(n-k)} \binom{b+c(n-k)}{n-k} = \frac{a+b}{a+b+ck} \binom{a+b+ck}{k}.$$

Then (3.4) can be proved again by repeatedly using Gould's identity.

Notice that when $n \geq pm(k-1)$ in (2.1), then the inequality condition for $\sigma_1(k, m)$ (i.e., $k_i \leq 1 + \frac{|A_i|}{p}$) is redundant. Hence, setting $\lambda_i = |A_i| + p$, $\mu = -p$ in (3.1)–(3.3), and combining with Proposition 2.1, we obtain our main result.

Theorem 3.3. Let $p, m, k \geq 1$ be any integers. For $n \geq pm(k-1)$,

$$\begin{aligned}
\mathcal{H}_{p,n}^{m,k} &= \sum_{j \geq 0} \binom{m+j-2}{j} \binom{n+mp+m-pk-1}{k-j} (-p-1)^j, \\
&= \sum_{j \geq 0} \binom{n+mp-(p+1)k+j}{j} \binom{n+mp+m-pk-1}{k-j} (p+1)^j (-p)^{k-j}, \\
&= \sum_{j \geq 0} \frac{n-pj}{k} \binom{m+j-1}{j} \binom{n+mp+m-pk-1}{k-j} (-p-1)^j,
\end{aligned}$$

and for $n \geq mpk+1$,

$$\mathcal{G}_{p,n}^{m,k} = \frac{n}{n-pk} \binom{n-pk}{k}. \quad (3.6)$$

Proof. It just needs to prove (3.6). For $n \geq mpk + 1$, by (2.2), we have

$$\begin{aligned}\mathcal{G}_{p,n}^{m,k} &= \sum_{j \geq 0} \binom{m}{j} p^j \mathcal{H}_{p,n-pm-pj-j}^{m,k-j} \\ &= \sum_{j \geq 0} \binom{m}{j} p^j \operatorname{Res}_y \frac{(1+y)^{n-p(k-j)+m-1-pj-j}}{(1+(p+1)y)^{m-1}} y^{-(k-j)-1} \\ &= \operatorname{Res}_y \frac{(1+y)^{n-pk+m-1}}{(1+(p+1)y)^{m-1}} y^{-k-1} \sum_{j \geq 0} \binom{m}{j} p^j \left\{ \frac{y}{1+y} \right\}^j \\ &= \operatorname{Res}_y \frac{(1+y)^{n-pk-1} (1+(p+1)y)}{y^{k+1}} \\ &= \frac{n}{n-pk} \binom{n-pk}{k},\end{aligned}$$

which follows by (3.5).

The formulas (1.1) and (3.6) motivate the following:

Theorem 3.4. For any integers $p, m, n, k \geq 1$, if $n \geq mpk + 1$, then there exists a bijection between the set of N_m^p -separate k -subsets of $[\bar{n}]$ and the set of N_1^p -separate k -subsets of $[\bar{n}]$.

We fail to produce such a bijection, and find that it remains a challenging open question.

Now, we give several recurrence relations that the sequences $\mathcal{H}_{p,n}^{m,k}$ and $\mathcal{G}_{p,n}^{m,k}$ satisfy.

Theorem 3.5. Let $p, m, k \geq 1$ be any integers. For $n \geq pm(k-1)$,

$$\mathcal{H}_{p,n}^{m,k} = \mathcal{H}_{p,n-1}^{m,k} + \mathcal{H}_{p,n-p-1}^{m,k-1}, \quad (3.7)$$

and for $n \geq m(pk+1)$,

$$\mathcal{G}_{p,n}^{m,k} = \mathcal{G}_{p,n-1}^{m,k} + \mathcal{G}_{p,n-p}^{m,k-1}, \quad (3.8)$$

$$\mathcal{G}_{p,n}^{m,k} = \sum_{j \geq 0} (-1)^j \binom{m}{j} p^j (p+1)^{m-j} \mathcal{H}_{p,n-pm-j}^{m,k}, \quad (3.9)$$

and for $n \geq mp(k-1)$,

$$\mathcal{H}_{p,n}^{m,k} = \sum_{j \geq 0} (-1)^j \binom{m+j-1}{j} p^j \mathcal{G}_{p,n+pm-pj-j}^{m,k-1}. \quad (3.10)$$

Proof. To prove (3.7), let us consider N_m^p -separate k -subsets from $[n]$ which either contain the first object x_1 or do not. In the latter case, the number of such subsets is enumerated by $\mathcal{H}_{p,n-1}^{m,k}$. In the former case, the subsets do not contain the objects $x_{m+1}, x_{2m+1}, \dots, x_{pm+1}$ of the set A_1 as defined in Section 2, note that the condition $n \geq mp(k-1)$ makes the restricted inequality condition of (2.1) in Proposition 2.1 redundant, so such subsets are counted by $\mathcal{H}_{p,n-p-1}^{m,k-1}$. Hence, (3.7) holds.

Using simple algebraic calculations we obtain that (3.8) holds.

Note that if $n \geq m(pk + 1)$, there holds

$$\begin{aligned} \mathcal{G}_{p,n}^{m,k} &= \operatorname{Res}_x \frac{(1+x)^{n-pk-1}(1+(p+1)x)}{x^{k+1}} \\ &= \operatorname{Res}_x \frac{(1+x)^{n+m-pk-1}}{(1+(p+1)x)^{m-1}} \left(p+1 - \frac{p}{1+x}\right)^m x^{-k-1} \\ &= \sum_{j \geq 0} (-1)^j \binom{m}{j} p^j (p+1)^{m-j} \operatorname{Res}_x \frac{(1+x)^{n+m-pk-j-1}}{(1+(p+1)x)^{m-1}} x^{-k-1} \\ &= \sum_{j \geq 0} (-1)^j \binom{m}{j} p^j (p+1)^{m-j} \mathcal{H}_{p,n-pm-j}^{m,k}, \end{aligned}$$

and if $n \geq mp(k-1)$, there holds

$$\begin{aligned} \mathcal{H}_{p,n}^{m,k} &= \operatorname{Res}_x \frac{(1+x)^{n+pm+m-pk-1}}{(1+(p+1)x)^{m-1}} x^{-k-1} \\ &= \operatorname{Res}_x \frac{(1+x)^{n+pm-pk-1}(1+(p+1)x)}{x^{k+1}} \left(1 + \frac{px}{1+x}\right)^{-m} \\ &= \sum_{j \geq 0} (-1)^j \binom{m+j-1}{j} p^j \operatorname{Res}_x \frac{(1+x)^{n+pm-pj-j-p(k-j)-1}(1+(p+1)x)}{x^{k-j+1}} \\ &= \sum_{j \geq 0} (-1)^j \binom{m+j-1}{j} p^j \mathcal{G}_{p,n+pm-pj-j}^{m,k-j}, \end{aligned}$$

which prove (3.9) and (3.10). \square

The above theorem suggests that there should exist combinatorial proofs for (3.8)–(3.10). However, we fail to produce such proofs, and find them remaining challenging open questions.

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